

P-64

## Berberine-loaded Nano-Liposomes Generated with Ethanol-Injection and Thin-film Hydration Methods

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### 1. Introduction

The poor solubility in water is very often a problem for active pharmaceutical substances of plant origin. The formulation of such drugs as liposomal preparations enables to improve the bioavailability of these drugs. Berberine (BBR) is a quaternary isoquinoline alkaloid derived from many native plant species (*Coptis* spp., *Berberis* spp., *Hydrastis canadensis* etc.). BBR has been traditionally used for the treatment of different disorders including hyper-cholesterolemia and cardiovascular diseases [1,2]. BBR has a strong antimicrobial activity enabling the use of it as an anti-diarrheal, anti-protozoal, fungal, candida, yeast, and parasitic intestinal active ingredient [3]. In addition, BBR has shown an anti-inflammatory, anti-diabetic, lipid peroxidation, and neuroprotective activity [3,4]. Unfortunately, BBR is poorly soluble in water and has a low bioavailability (<10%) due to the induced activity of multidrug efflux transporter P-glycoprotein (P-gp) in the intestine itself [2]. Such limitations associated with a poor oral bioavailability of BBR could be overcome by nanoformulating BBR to liposomes.

Pharmaceutical liposomes can be fabricated by ethanol-injection and thin-film hydration methods. The lamellarity, size, shape and ultra-structure of liposomes can be determined by using different advanced techniques, such as cryogenic electron microscopy (Cryo-EM), dynamic light scattering (DLS), size-exclusion chromatography (SEC), and atomic force microscopy (AFM) [5]. Confocal laser scanning microscopy (CLSM) has been also used for such imaging [6].

The aim of our study is to investigate ethanol-injection and film hydration methods for generating BBR-loaded liposomes and to study the structure, size, size distribution and entrapment efficiency of the liposomes. The liposomes are ultimately intended for the oral treatment of hypercholesterolemia.

### 2. Materials and methods

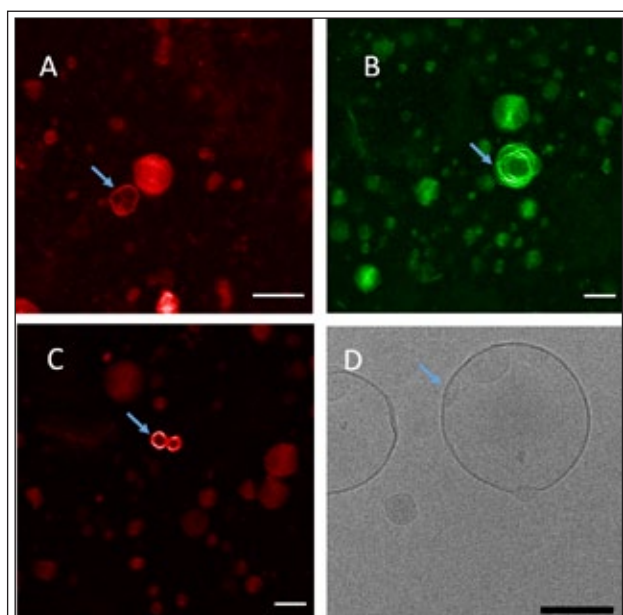
BBR (Sichuan Weikeqi Biological Technology Co., Ltd., China) was used as an active ingredient, and hydrogenated soy phosphatidyl choline (HSPC), distearoyl phosphatidylglycerol (DSPG), alpha-tocopherol (a-TP), and sodium deoxycholate (SDC) were used as liposome forming agents. The BBR-loaded liposomes were prepared by ethanol-injection and thin film-hydration methods. Liposomes were characterized (including polydispersity index, PDI) by Zetasizer Nano ZSP (Malvern Instrument Limited, UK), cryogenic electron microscopy (Cryo-EM) and coherent anti-stokes Raman scattering (CARS) microscopy (Leica TCS SP8 CARS, Germany). The entrapment efficiency (EE) was assessed with an UV-Vis spectrophotometer (UV-1800, Shimadzu, Japan).

### 3. Results

The size, size distribution and EE of the BBR-loaded liposomes studied are summarized in Table 1. The morphology and internal structure of BBR-loaded liposomes fabricated by an ethanol-injection method were imaged by means of Cryo-EM. The liposomes were spherical and unilamellar

**Table 1** The size, size distribution and entrapment efficiency (EE) of liposomes fabricated by ethanol injection and thin-film hydration method ( $n=3$ , mean  $\pm$ SD)

Exp.	Ethanol-injection			Thin-film hydration		
	Mean size (d.nm)	PDI	EE (%)	Mean size (d.nm)	PDI	EE (%)
1	133.6 $\pm$ 1.1	0.209 $\pm$ 0.008	55.9 $\pm$ 2.4	248.7 $\pm$ 3.3	0.272 $\pm$ 0.053	60.5 $\pm$ 2.6
2	117.3 $\pm$ 1.3	0.186 $\pm$ 0.004	57.8 $\pm$ 2.1	236.0 $\pm$ 2.0	0.248 $\pm$ 0.009	64.0 $\pm$ 1.4
3	82.34 $\pm$ 1.3	0.113 $\pm$ 0.004	51.0 $\pm$ 2.5	-	-	-
4	-	-	-	182.8 $\pm$ 2.6	0.297 $\pm$ 0.022	77.5 $\pm$ 1.5
5	120.4 $\pm$ 1.1	0.243 $\pm$ 0.001	58.4 $\pm$ 2.5	292.0 $\pm$ 3.9	0.181 $\pm$ 0.033	67.6 $\pm$ 3.1
6	-	-	-	209.0 $\pm$ 1.1	0.317 $\pm$ 0.037	90.6 $\pm$ 1.4
7	243.6 $\pm$ 4.1	0.195 $\pm$ 0.012	81.8 $\pm$ 1.4	208.2 $\pm$ 3.2	0.312 $\pm$ 0.031	87.3 $\pm$ 0.8
8	91.2 $\pm$ 2.0	0.232 $\pm$ 0.015	88.2 $\pm$ 1.2	448.6 $\pm$ 6.6	0.276 $\pm$ 0.016	91.9 $\pm$ 4.2
9	50.9 $\pm$ 1.2	0.259 $\pm$ 0.009	64.9 $\pm$ 2.4	239.2 $\pm$ 2.4	0.243 $\pm$ 0.009	78.0 $\pm$ 1.7



**Figure 1** CARS microscopy images (A-C) of the liposomes fabricated by a thin film hydration method (initial liposomes before extrusion). Scale bar = 10  $\mu$ m. The Cryo-EM image (D) of the liposomes fabricated by an ethanol injection method. Scale bar = 100 nm

vesicles with a single thin layer surrounding the entrapped aqueous phase (**Figure 1D**). The BBR-loaded liposomes fabricated by a thin-film hydration method exhibited different liposome forms (structures): multivesicular vesicles (MVV) (**Figure 1A**), multilamellar vesicles (MLV) (**Figure 1B**), and unilamellar vesicles (UV) (**Figure 1C**). In the background of the CARS micrographs (**Figure 1A-C**), numerous liposomes (with a spherical shape) can be distinguished, but due to the position of these liposomes in relation to a CARS laser source, their internal structure were not able to be imaged.

The BBR-loaded liposomes prepared by an ethanol-injection method presented the mean liposome size ranging from 50 nm to 244 nm, while the liposomes generated with a thin-film hydration method (before extrusion) exhibited a larger micron-scale size and variation in structure (**Figure 1A-C**). After extruding 60 times through a polycarbonate membrane (0.4  $\mu$ m), however, the mean size in diameter of the present liposomes reduced significantly ranging ultimately from 182 nm to 449 nm (**Table 1**).

#### 4. Conclusions

Ethanol-injection and thin-film hydration are feasible methods for generating BBR-loaded liposomes. The structure of the liposomes generated with an ethanol injection method is UV, and the liposomes obtained by a thin-film hydration method are MLV, MVV and UV types. The BBR-loaded liposomes with a uniform size and PDI can be fabricated. The EE of liposomes ranged from 51.0  $\pm$  2.5% to 88.2  $\pm$  1.2% in an ethanol-injection method and from 60.5  $\pm$  2.6% to 91.9  $\pm$  4.2% in a thin-film hydration method.

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